Prefetching and Caching for Minimizing Service Costs: Optimal and Approximation Strategies

Guocong Quan, Atilla Eryilmaz, Jian Tan, Ness Shroff

The Ohio State University

IFIP Performance 2020







- Use caching to store the data closer to users
- Use prefetching to load the data into caches before users' requests



- Use caching to store the data closer to users
- Use prefetching to load the data into caches before users' requests



- Use caching to store the data closer to users
- Use prefetching to load the data into caches before users' requests



- Use caching to store the data closer to users
- Use prefetching to load the data into caches before users' requests
- Benefits of prefetching and caching:
 - Service delay is lower
 - Prefetching is less time-sensitive, compared to fetching



- Use caching to store the data closer to users
- Use prefetching to load the data into caches before users' requests
- Benefits of prefetching and caching:
 - Service delay is lower
 - Prefetching is less time-sensitive





Is prefetching always a good choice?

If not, for which requests should we choose to prefetch?

• d_i , $1 \le i \le M$: a set of data items

- d_i , $1 \le i \le M$: a set of data items
- R_n , $1 \le n \le N$: a sequence of requests for the data items (assume to be known)

- d_i , $1 \le i \le M$: a set of data items
- R_n , $1 \le n \le N$: a sequence of requests for the data items (assume to be known)
- Data retrieval operations:

Operation	Time	Caching	Cost
Fetch			
Prefetch			

- d_i , $1 \le i \le M$: a set of data items
- R_n , $1 \le n \le N$: a sequence of requests for the data items (assume to be known)
- Data retrieval operations:

Operation	Time	Caching	Cost
Fetch	After the request		
Prefetch	Before the request		

- d_i , $1 \le i \le M$: a set of data items
- R_n , $1 \le n \le N$: a sequence of requests for the data items (assume to be known)
- Data retrieval operations:

Operation	Time	Caching	Cost
Fetch	After the request	May not load into cache	
Prefetch	Before the request	Have to load into cache	

- d_i , $1 \le i \le M$: a set of data items
- R_n , $1 \le n \le N$: a sequence of requests for the data items (assume to be known)
- Data retrieval operations:

Operation	Time	Caching	Cost
Fetch	After the request	May not load into cache	1
Prefetch	Before the request	Have to load into cache	$c \in [0,1]$

- d_i , $1 \le i \le M$: a set of data items
- R_n , $1 \le n \le N$: a sequence of requests for the data items (assume to be known)
- Data retrieval operations:

Operation	Time	Caching	Cost
Fetch	After the request	May not load into cache	1
Prefetch	Before the request	Have to load into cache	$c \in [0,1]$

- Optimal offline prefetching & caching:
 - Goal: Minimize the overall cost by choosing proper data retrieval operations to serve the request sequence
 - Constraint: The cache size is limited

- d_i , $1 \le i \le M$: a set of data items
- R_n , $1 \le n \le N$: a sequence of requests for the data items (assume to be known)
- Data retrieval operations:

Operation	Time	Caching	Cost
Fetch	After the request	May not load into cache	1
Prefetch	Before the request	Have to load into cache	$c \in [0,1]$

- Optimal offline prefetching & caching:
 - Goal: Minimize the overall cost by choosing proper data retrieval operations to serve the request sequence
 - Constraint: The cache size is limited

Question 1: Should we prefetch or fetch the requested item, if it is not stored in the cache? Question 2: Which data item should be evicted, if we load a new data item into the cache?











Flow-based optimal offline policy π_{OPT} :



Request sequence: d_1 , d_2 , d_1 , d_3



Flow-based optimal offline policy π_{OPT} :



Cache of size 2 Request sequence: d_1 , d_2 , d_1 , d_3 Prefetch $R_1 = d_1$, Prefetch $R_2 = d_2$, Hit $R_3 = d_1$, Prefetch $R_4 = d_3$ & evict d_1



Flow-based optimal offline policy π_{OPT} :



Drawbacks:

- Cannot analytically answer the two proposed questions
- Need to know all future requests to make optimal decisions
- The decision for a request is unavailable unless the entire sequence is processed

- Question 1: Should we prefetch or fetch a data item, if it is not stored in the cache?
- Question 2: Which data item should be evicted, if we cache a new data item?

- Question 1: Should we prefetch or fetch a data item, if it is not stored in the cache?
- Question 2: Which data item should be evicted, if we choose to prefetch and cache is full?

Theorem 1: There exists an optimal policy that always evicts the farthest-in-future (FF) item.

• FF item: the item that is stored in the cache, and requested farthest in future.

- Question 1: Should we prefetch or fetch a data item, if it is not stored in the cache?
- Question 2: Which data item should be evicted, if we choose to prefetch and cache is full?

Theorem 1: There exists an optimal policy that always evicts the farthest-in-future (FF) item.

• FF item: the item that is stored in the cache, and requested farthest in future.

Theorem 2: Assuming the FF eviction policy is adopted, prefetching a missed data item is the optimal decision if any of the following two conditions is satisfied:C1: There exists a request for a *popular* item in *near future*, but that data item is not cached.C2: The prefetching cost *c* is *sufficiently low*.

- Question 1: Should we prefetch or fetch a data item, if it is not stored in the cache?
- Question 2: Which data item should be evicted, if we choose to prefetch and cache is full?

Theorem 1: There exists an optimal policy that always evicts the farthest-in-future (FF) item.

• FF item: the item that is stored in the cache, and requested farthest in future.

Theorem 2: Assuming the FF eviction policy is adopted, prefetching a missed data item is the optimal decision if any of the following two conditions is satisfied:C1: There exists a request for a *popular* item in *near future*, but that data item is not cached.C2: The prefetching cost *c* is *sufficiently low*.

Approximation Strategy

Approximation policy π_A :

When the requested data is not cached, prefetch the data item and evict the FF item, if

- $c \leq \sqrt{2}/2$
- Or, any of C1 and C2 is satisfied

Otherwise, fetch the data and do not load it into the cache.

Approximation Strategy

Approximation policy π_A :

When the requested data is not cached, prefetch the data item and evict the FF item, if

- $c \le \sqrt{2}/2$
- Or, any of C1 and C2 is satisfied

Otherwise, fetch the data and do not load it into the cache.

- π_A only requires near future information.
- The time complexity is O(N).
- π_A achieves near optimal performance.

Competitive Ratio

Always prefetching policy π_P :

Always prefetch the requested data item if it is not cached, and evict the FF item.

Always fetching policy π_F (Belady's algorithm):

Always fetch the missed data item and adopt the FF eviction policy.

Competitive Ratio

Always prefetching policy π_P :

Always prefetch the requested data item if it is not cached, and evict the FF item.

Always fetching policy π_F (Belady's algorithm):

Always fetch the missed data item and adopt the FF eviction policy.



Competitive Ratio

Always prefetching policy π_P :

Always prefetch the requested data item if it is not cached, and evict the FF item.

Always fetching policy π_F (Belady's algorithm):

Always fetch the missed data item and adopt the FF eviction policy.



The competitive ratio of π_A is

- equal to 1 for $c \le 0.5$ or c = 1
- at most $\sqrt{2}$
- always less than the ones of π_P and π_F

Optimal static policy π_S :

Optimal static policy π_S :

- Cache size: 20
- Popularity distribution: $p_i = \mathbb{P}[R_n = d_i]$, $1 \le i \le 10^6$, $1 \le n \le 10^5$

Optimal static policy π_S :

- Cache size: 20
- Popularity distribution: $p_i = \mathbb{P}[R_n = d_i]$, $1 \le i \le 10^6$, $1 \le n \le 10^5$



Optimal static policy π_S :

- Cache size: 20
- Popularity distribution: $p_i = \mathbb{P}[R_n = d_i]$, $1 \le i \le 10^6$, $1 \le n \le 10^5$



- *T*: the number of future requests required by π_A to make decisions
- $\bullet \quad p_i=c\cdot i^{-2}, 1\leq i\leq 10^6, 1\leq n\leq N$

- *T*: the number of future requests required by π_A to make decisions
- $p_i = c \cdot i^{-2}, 1 \le i \le 10^6, 1 \le n \le N$





• Formulated a cost-based optimal offline prefetching and caching problem



- Formulated a cost-based optimal offline prefetching and caching problem
- Proposed a flow-based optimal offline policy

Summary

- Formulated a cost-based optimal offline prefetching and caching problem
- Proposed a flow-based optimal offline policy
- Analytically characterized the optimal offline policy
 - Optimal eviction policy
 - Sufficient conditions for optimal prefetching

Summary

- Formulated a cost-based optimal offline prefetching and caching problem
- Proposed a flow-based optimal offline policy
- Analytically characterized the optimal offline policy
 - Optimal eviction policy
 - Sufficient conditions for optimal prefetching
- Designed a lightweight approximation policy
 - Require near-future information
 - Achieve near-optimal performance

Thank you!